

**Sleep Disorders
Residual Functional Capacity Questionnaire**

Name:			
Claim #:			
Date of Injury:			
Please Print Name of Medical Evaluator:			
Medical Specialty:			
What is the first date patient's impairment(s) became "severe" meaning that his/her impairment(s) caused interference ADL's or ability to work?			Date:
When did you begin treating the patient?			Date:
How frequently do you see your patient?			Date:

Identify your patient's **symptoms and signs**:

- | | | |
|---|--|---|
| <input type="checkbox"/> Cataplexy | <input type="checkbox"/> Sinus arrhythmia | <input type="checkbox"/> Hypnagogic phenomenon |
| <input type="checkbox"/> Insomnia | <input type="checkbox"/> Extreme bradycardia | <input type="checkbox"/> Ventricular tachycardia |
| <input type="checkbox"/> Atrial flutter | <input type="checkbox"/> Sleep paralysis | <input type="checkbox"/> Excessive daytime sleepiness |
| <input type="checkbox"/> Cognitive problems | <input type="checkbox"/> Automatic behavior | <input type="checkbox"/> Hypercapnia |
| <input type="checkbox"/> Hypoxia | <input type="checkbox"/> Pulmonary insufficiency | <input type="checkbox"/> Obesity |
| <input type="checkbox"/> Sleep apnea: | A. <input type="checkbox"/> obstructive | B. <input type="checkbox"/> central |
| | | C. <input type="checkbox"/> mixed |
| <input type="checkbox"/> Other: _____ | | |

Does your patient exhibit **recurrent daytime sleep attacks**? Yes No

If yes,

A. Can these attacks occur suddenly and in hazardous conditions (e.g., driving, while exposed to heights or moving machinery)? Yes No

B. **How often** do these **attacks** typically occur: _____ per day or _____ per week or _____ per month

C. For **how long** does your patient typically sleep with each attack? _____

D. Identify situations that can precipitate attacks:

- | | | | |
|--|--|-----------------------------------|--|
| <input type="checkbox"/> Quiet | <input type="checkbox"/> Sleep disturbance | <input type="checkbox"/> Exertion | <input type="checkbox"/> Repetitive activity |
| <input type="checkbox"/> Side affects of medications | <input type="checkbox"/> Other _____ | | |

If your patient was working and has a sleep attack, would the attack likely disrupt the work of coworkers or supervisors in your patient's vicinity? Yes No

Is there a reasonable medical probability that claimant will need to take unscheduled breaks from work activity during the workday? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other:
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What symptom(s) cause a need for breaks?

- Daytime sleep attacks
- Chronic fatigue
- Adverse effects of medication
- Other: _____

How often during a typical workday will claimant experience fatigue or other symptom severe enough to interfere with attention and concentration needed to perform even simple work tasks as a result of the combination of impairments?				
Not at all	Rare 1-5% day	Occasionally up to 1/3 rd day	Frequently 1/3 rd to 2/3 rd day	Continuously 2/3 rd day or more
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

How often during a typical workday will the combination of claimant's of impairments interfere with an ability to perform sustained and competitive work ?				
Not at all	Rare 1-5% day	Occasionally up to 1/3 rd day	Frequently 1/3 rd to 2/3 rd day	Continuously 2/3 rd day or more
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

To what degree can claimant tolerate work stress as a result of the medical condition(s)?	
Examples of factors that may precipitate work related stress: maintaining speed; precision; persistence and pace; complexity; meeting deadlines; working within a schedule; making decisions; exercising independent judgment; completing tasks; getting to work regularly; remaining at work for a full day.	
<input type="checkbox"/> Incapable of "low stress" jobs	<input type="checkbox"/> Capable of low stress jobs
<input type="checkbox"/> Moderate stress is okay	<input type="checkbox"/> Capable of high stress work
Will claimant's impairments likely to produce "good days" and "bad days"?	
<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other:	
If yes, please estimate, on average, how many days per month claimant is likely to be absent from work as a result of the impairments or treatment?	
<input type="checkbox"/> Never	<input type="checkbox"/> About two days per month
<input type="checkbox"/> About three days per month	<input type="checkbox"/> About four days per month
<input type="checkbox"/> About one day per month	<input type="checkbox"/> More than four days per month

How often and/or how long if at all, will the patient experience mental fatigue that manifests in somnolence (decreased wakefulness)?	
Frequency of episodes:	Duration of episodes: Second/Minutes:

How often and/or how long if at all, will the patient experience mental fatigue that manifests in general decrease of attention, not necessarily including sleepiness?	
Frequency of episodes:	Duration of episodes: Second/Minutes:

How often and/or how long if at all, will the patient experience episodes micro-sleep that may last for a fraction of a second or up to thirty seconds?	
Frequency of episodes:	Duration of episodes: Second/Minutes:

How often and/or how long if at all, even in the middle of lively conversations, will the patient experience an onset of a micro sleep episode resulting in 'suddenly' losing the thread of a conversation?	
Frequency of episodes:	Duration of episodes: Second/Minutes:

In utilizing this form, please assume the following definitions:				
1. "Mild" assumes an annoyance but no reduction in the ability to perform the function.				
2. "Severe" assumes an inability to perform the function.				
3. Please assume that "off task" means an inability and/or a reduction in productivity over the course of a work day, 8 hours or otherwise. If appropriate, please choose <u>one</u> of the 4 following definitions of " Moderate " you feel best describes claimant's functional limitations, if any:				
1. <input type="checkbox"/> Will be "off task" up to 10% of the time in an 8 hour day;				
2. <input type="checkbox"/> Will be "off task" up to 15% of the time in an 8 hour day;				
3. <input type="checkbox"/> Will be "off task" up to 20% or more of the time in an 8 hour day;				
4. <input type="checkbox"/> Other: Will be "off task" _____ of the time in an 8 hour day.				
	Mild	Moderate	Severe	No Evidence of Limitation in this Category
Able to perform tasks that require constant concentration, such as driving a vehicle to and from work.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Able to perform tasks that require constant concentration, such as driving a vehicle during work.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Able to perform tasks that require constant concentration, such as operating machinery, equipment or electric operated tools.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The ability to maintain concentration and attention for extended periods (the approximately 2-hour segments between arrival and first break, lunch, second break, and departure).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The ability to perform activities within a schedule, maintain regular attendance, and be punctual within customary tolerances.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The ability to work in the proximity of and be aware of normal hazards and take appropriate precautions.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complete a normal workday and workweek without interruptions from symptoms and perform at a consistent pace without an unreasonable number and length of rest periods.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe any other limitations that would affect your patient's ability to work at a regular job on a sustained basis or any testing that would help to clarify the severity of your patient's impairment(s) or limitations:

I declare under penalty of perjury that the information contained in this report and its attachments, if any, is true and correct to the best of my knowledge and belief, except as to information that I have indicated I received from others. As to that information, I declare under penalty of perjury that the report accurately describes the information provided to me and except as noted herein, that I believe it to be true. I also declare under the perjury that this physician has no violated section 139.3 of the Labor Code.

Signature of Physician _____

Date _____